UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

JUGE CEDARBAUM

THE NEW YORK CITY DISTRICT COUNCIL OF CARPENTERS PENSION FUND, NEW YORK CITY DISTRICT COUNCIL OF CARPENTERS WELFARE FUND, NEW YORK CITY DISTRICT COUNCIL OF CARPENTERS VACATION FUND, NEW YORK CITY DISTRICT COUNCIL OF CARPENTERS ANNUITY FUND, NEW YORK CITY DISTRICT COUNCIL OF CARPENTERS APPRENTICESHIP, JOURNEYMAN RETRAINING, EDUCATIONAL AND INDUSTRY FUND, NEW YORK CITY DISTRICT COUNCIL OF CARPENTERS CHARITY FUND, and THE NEW YORK CITY AND VICINITY CARPENTERS LABOR MANAGEMENT COOPERATION FUND, by MICHAEL J. FORDE, and PAUL O'BRIEN, as TRUSTEES,

07 CV

SUMMONS

Plaintiffs.

-against-

GLEN ROCK CONSTRUCTION LLC,

Defendant.

TO:

Glen Rock Construction LLC 142 West 36th Street, Suite 408 New York, NY 10018

YOU ARE HEREBY SUMMONED and required to file with the clerk of this court and serve upon

O'DWYER & BERNSTIEN, LLP 52 Duane Street New York, New York 10007 (212) 571-7100

an answer to the complaint which is herewith served upon you, within 30 days after service of this summons upon you, exclusive of the day of service. If you fail to do so, judgment by default will be taken against you for the relief demanded in the complaint. 'JUN 0 4 2007

CLERK

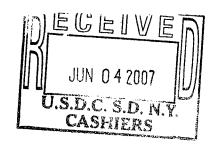
DATE

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COMPLAINT



Plaintiffs,

-against-

| GLEN ROCK CONSTRUCTION LLC, | |
|-----------------------------|--|
| | |

as TRUSTEES,

| Defendant. |
|------------|
| X |

Plaintiffs, (hereinafter also referred to as "Benefit Funds"), by their attorneys O'Dwyer & Bernstien, LLP, for their Complaint allege as follows:

NATURE OF THE CASE

This is an action to confirm and enforce an Arbitrator's Award rendered pursuant 1. to a collective bargaining agreement ("Agreement") between The District Council of New York City and Vicinity of the United Brotherhood of Carpenters and Joiners of America ("Union") and Glen Rock Construction LLC. ("Employer").

JURISDICTION

2. This Court has subject matter jurisdiction over this proceeding pursuant to section 301 of the Labor Management Relations Act ("LMRA"), 29 U.S.C. §185, sections

502(a)(3)(B)(ii), (d)(1), (e) and (g) of the Employee Retirement Income Security Act ("ERISA"), 29 U.S.C. §§1132(a)(3)(B)(ii), (d)(1), (e) and (g), section 515 of ERISA, 29 U.S.C. §1145, and section 9 of the Federal Arbitration Act, 9 U.S.C. §9.

3. Personal jurisdiction is based upon Section 502(e)(2) of ERISA, 29 U.S.C. §1132(e)(2).

VENUE

4. Venue is proper in this district in that Plaintiffs' offices are located in this district.

PARTIES

- 5. At all times relevant herein the Plaintiffs were jointly administered, multiemployer, Taft-Hartley Benefit Funds administered by trustees designated by a union and by employers, established and maintained pursuant to section 302(c)(5) of the LMRA, 29 U.S.C. §186(c)(5). Plaintiffs Forde and O'Brien are fiduciaries of the Benefit Funds within the meaning of ERISA sections 3(21) and 502, 29 U.S.C. §§1002(21) and 1132.
- 6. The Benefit Funds are employee benefit plans within the meaning of sections 3(1) and (3) of ERISA, 29 U.S.C. §1002(1) and (3) and are maintained for the purposes of providing health, medical and related welfare benefits, pension and other benefits to eligible participants and beneficiaries on whose behalf they receive contributions from numerous employers pursuant to collective bargaining agreements between the employers and the Union.
- 7. Upon information and belief defendant Glen Rock Construction LLC is a domestic corporation incorporated under laws of the State of New York with a principal place of business located at 142 West 36th Street, Suite 408, New York, NY 10018.
- 8. The defendant is an employer within the meaning of section 3(5) of ERISA, 29 U.S.C. §1002 (5).

FIRST CLAIM FOR RELIEF

- 9. Defendant, Glen Rock Construction LLC, was bound at all relevant times by a collective bargaining agreement with the Union, which, by its terms, became effective July 1, 2001. Said Agreement provides, inter alia, that the defendant shall make monetary contributions to the Benefit Funds on the behalf of covered employees, and for the submission of disputes to final, binding arbitration.
- 10. A dispute arose during the period of the Agreement between the parties when the Employer failed to comply with obligations under the Agreement to make contributions for employees in the bargaining unit.
- 11. Pursuant to the arbitration clause in the Agreement, the dispute was submitted to arbitration to Robert Herzog, the duly designated impartial arbitrator.
- 12. Thereafter, upon due notice to all parties, the arbitrator duly held a hearing and rendered his award, in writing, dated April 2, 2007 determining said dispute. Upon information and belief, a copy of the award was delivered to the defendant (A copy of the award is annexed hereto as Exhibit "A" and made part hereof).
- 13. The arbitrator found that Glen Rock Construction LLC had failed to make contributions due to the Benefit Funds for the period March 10, 2006 through October 19, 2006, in the principal amount of \$20,157.28.
- 14. The arbitrator also found that Glen Rock Construction LLC was required to pay interest on the principal amount due at the rate of 10% per annum from the date of the award.
 - 15. The defendant, Glen Rock Construction LLC, has failed to abide by the award. WHEREFORE, Plaintiffs demand judgment against defendant as follows:
 - 1. For an order confirming the arbitration award in all respects;

- 2. For entry of judgment in favor of the Plaintiffs and against Glen Rock

 Construction LLC in the principal amount of \$20,157.28, plus 10% interest per year from the date of the award to the date of entry of judgment;
 - 3. For attorneys' fees and costs of this action;
 - 4. For such other and further relief as this court may deem just and proper.

Dated: New York, New York June 4, 2007

ANDREW GRABOIS (AG 3192)

O'Dwyer & Bernstien, LLP Attorneys for Plaintiffs

52 Duane Street

New York, NY 10007

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